

# **New POPs**

## **- Candidate Chemicals for Stockholm Convention -**

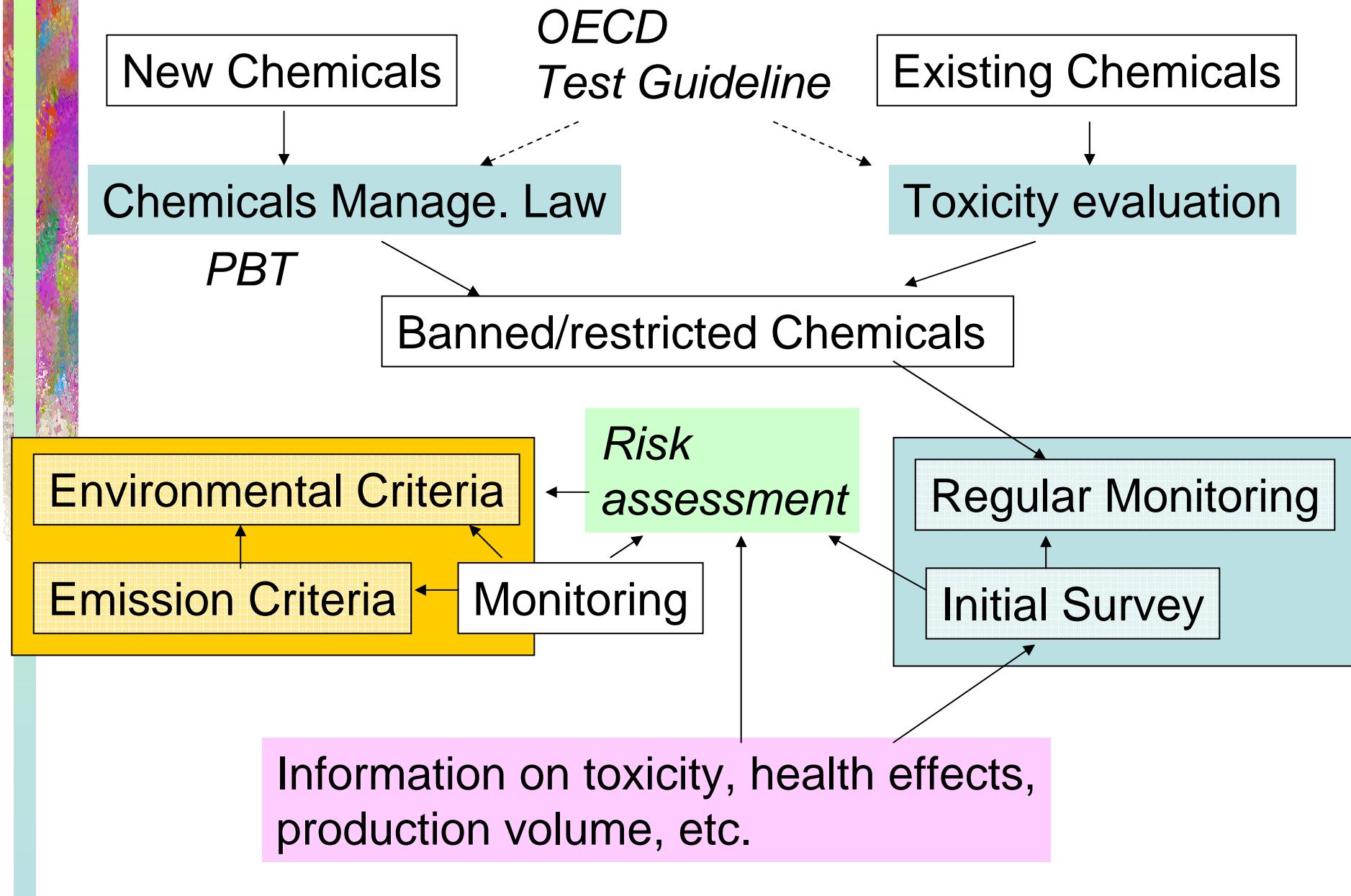
**Yasuyuki Shibata**

*Environmental Chemistry Division  
National Institute for Environmental Studies  
Tsukuba, Japan*

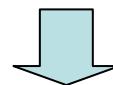
## **Topics**

- 1) Outline of the management of chemicals**
- 2) Brief introduction of POPs candidate chemicals**
- 3) Analysis of PFOS and other fluorosurfactants**
- 4) Effectiveness Evaluation of  
Stockholm Convention**

## Outline of Chemical Management in Japan



Priority on Persistent, Bioaccumulative Toxicants  
PBT, or POPs (Persistent Organic Pollutants)



### *Stockholm Convention*

“to protect human health and environment from POPs”

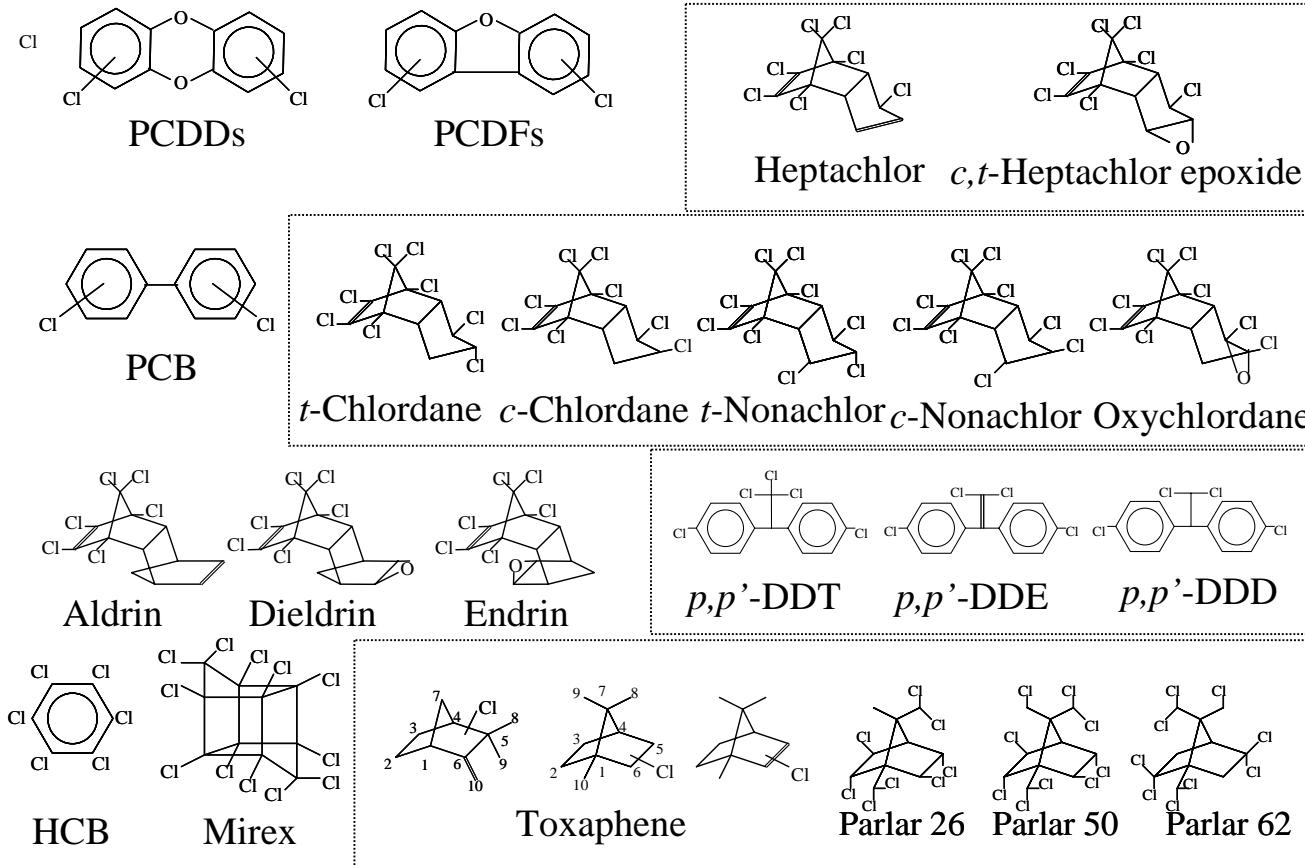
- |          |                                     |
|----------|-------------------------------------|
| May 2001 | Stockholm Convention adopted        |
| May 2004 | entry into force                    |
| May 2005 | First Conference of Parties (COP-1) |
| May 2006 | COP-2                               |
| May 2007 | COP-3                               |

POPRC on new POPs

- |          |       |                                |
|----------|-------|--------------------------------|
| May 2009 | COP-4 | Effectiveness Evaluation & GMP |
|----------|-------|--------------------------------|

# POPs (Persistent Organic Pollutants)

mposium in Jakarta (Nov 2007)



Annex A: prohibited 8 OCPs and PCB

Annex B: restricted DDT

Annex C: unintentional production PCDDs, PCDFs, HCB, PCB

## Article 8: Listing of chemicals in Annexes A, B and C

1. A Party may submit a proposal to the Secretariat for listing a chemical in Annexes A, B and/or C. ...
2. The Secretariat shall verify whether the proposal contains the information specified in Annex D. ... it shall forward the proposal to the Persistent Organic Pollutants Review Committee (POPRC).
3. The Committee shall examine the proposal and apply the screening criteria specified in Annex D in a flexible and transparent way, taking all information provided into account in an integrative and balanced manner.

### Review steps of POPRC

- (1) To check whether a proposed chemical passes POPs Criteria or not
- (2) To evaluate according to Risk Profile of the chemical
- (3) To evaluate according to socio-economic consideration

## Candidate new POPs under review by POPRC

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2005

PBDE (Pentabromodiphenylether)  
HBB (Hexabromobiphenyl)  
Chlordecone  
 $\gamma$ -HCH (Lindane)  
PFOS(+ their derivatives)

2006

OBDE (Octabromodiphenylether)  
PeCB (Pentachlorobenzene)  
short-chained chlorinated paraffin  
 $\alpha$ -HCH  
 $\beta$ -HCH

2007

Endosulfan

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## Category of proposed POPs

### 1) OC Pesticides

- : α-, β-, γ-HCH
- : chlordcone
- : endosulfan

*long-used chemicals with established analytical methods; many monitoring*

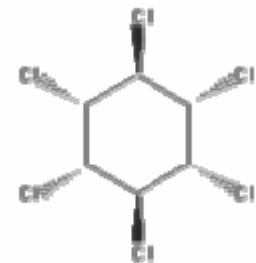
### 2) Flame retardants

- : PBDEs (PeBDE, OBDE) *many isomers/congeners (except HxBB)*
- : HxBB
- : short-chained chloroparaffins

### 3) Others

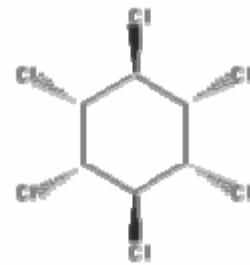
- : PFOS (fluorinated surfactants)
- : PeCB

$\gamma$ -HCH



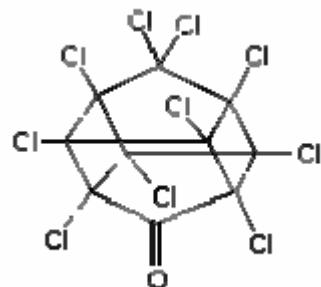
Broad-spectrum Insecticide for;  
seed, soil treatment  
wood and timber protection  
pharmaceutical use

Production estimate:  
720,000 t (Voldner & Li, 1995)

$\gamma$ -HCH

Properties	Criteria	Data(or estimates)
*Persistent		
Water	>2 months	30~300 d
Soil	>6 months	
Sediments	>6 months	
*Bioaccumulative		
BAF/BCF	>5,000	log BCF=
		2.26~3.85
Log $K_{o/w}$	>5	3.5
*Long Range Transport		Arctic birds mammals
*Toxicity/Ecotoxicity	/	

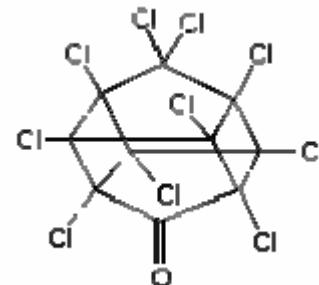
## Chlordecone



Insecticide, and material for production of other insecticide (Kelevan)

Production:

USA 1966~1975 total 1,600 t  
12~70 t used domestically  
90~99.2% => export for  
Kelevan production  
Africa, Europe, Latin Am.

**Chlordecone****Properties****\*Persistent**

Water

**Criteria**

&gt;2 months

Soil

&gt;6 months

1~2 years

Sediments &gt;6 months

**\*Bioaccumulative**

BAF/BCF &gt;5,000

&gt;60,000

Log K<sub>o/w</sub> >5

4.5~6.0

**\*Long Range Transport** $t_{1/2\text{air}} > 2 \text{ d}$ 

~50 years

**\*Toxicity/Ecotoxicity**

/

## $\alpha$ -, $\beta$ -, $\gamma$ -HCHs

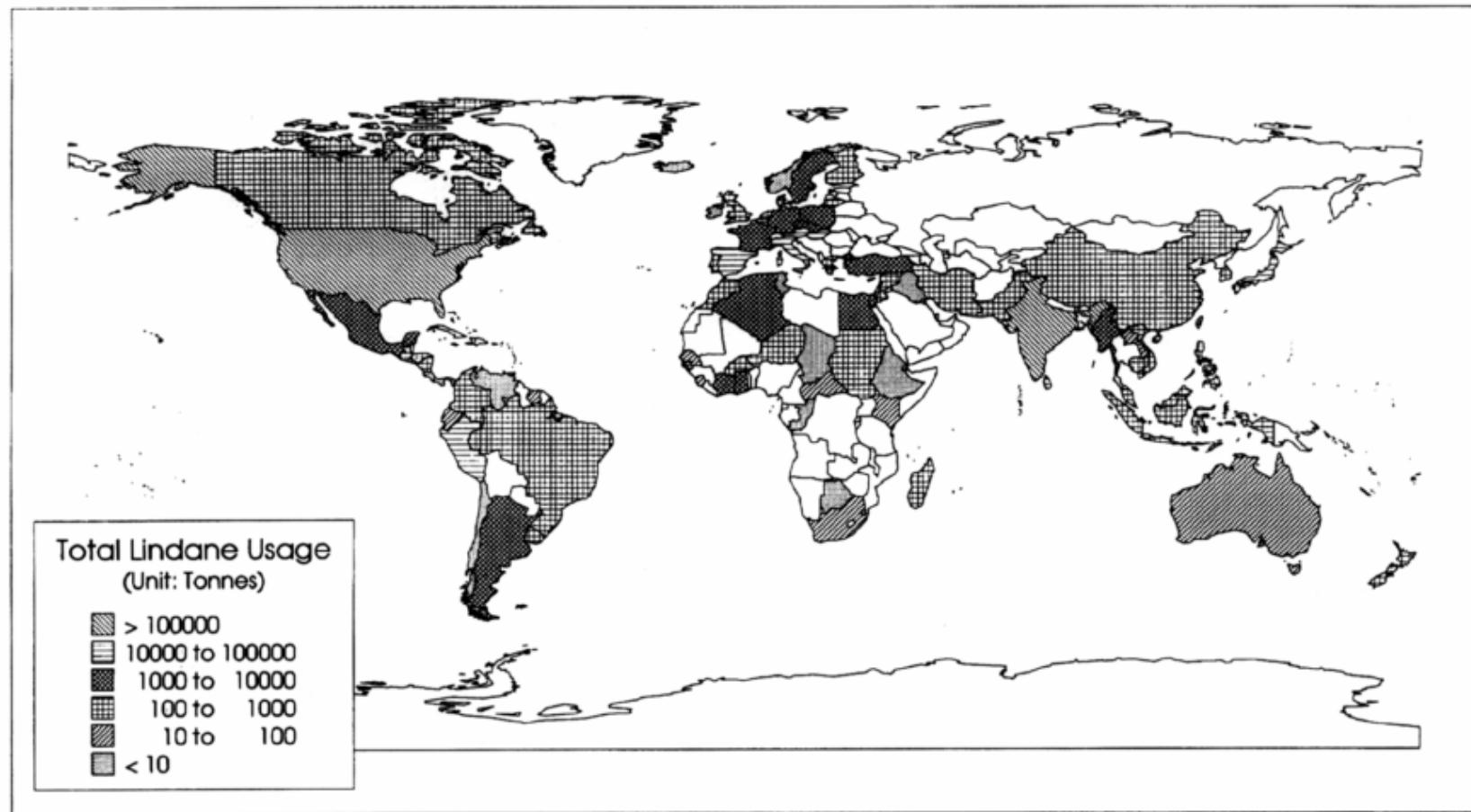
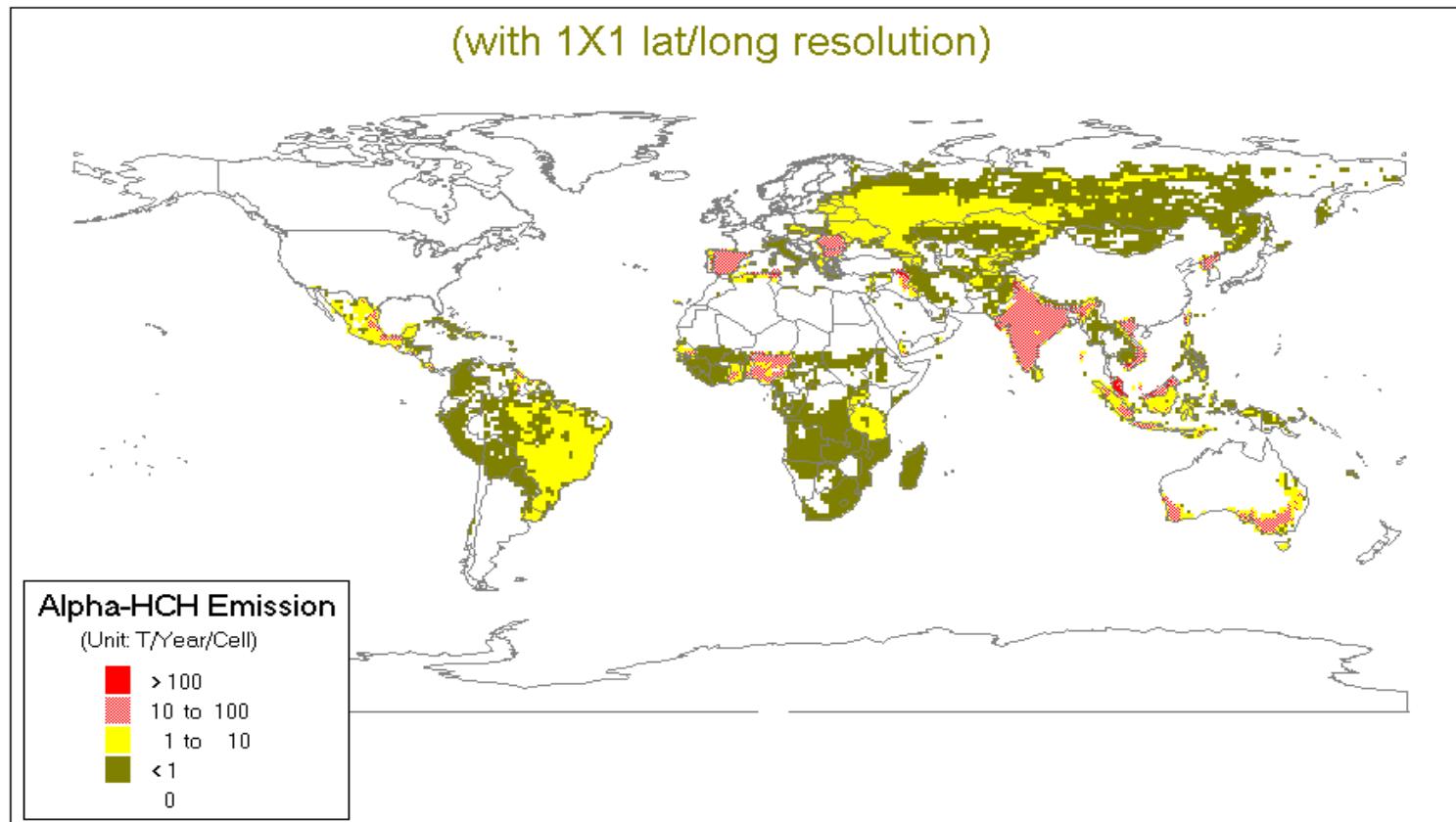


Fig. 9. Global lindane usage distribution accounted for in the search. The total usage was estimated at 720 000 metric tonnes.

Voldner & Li (1995)

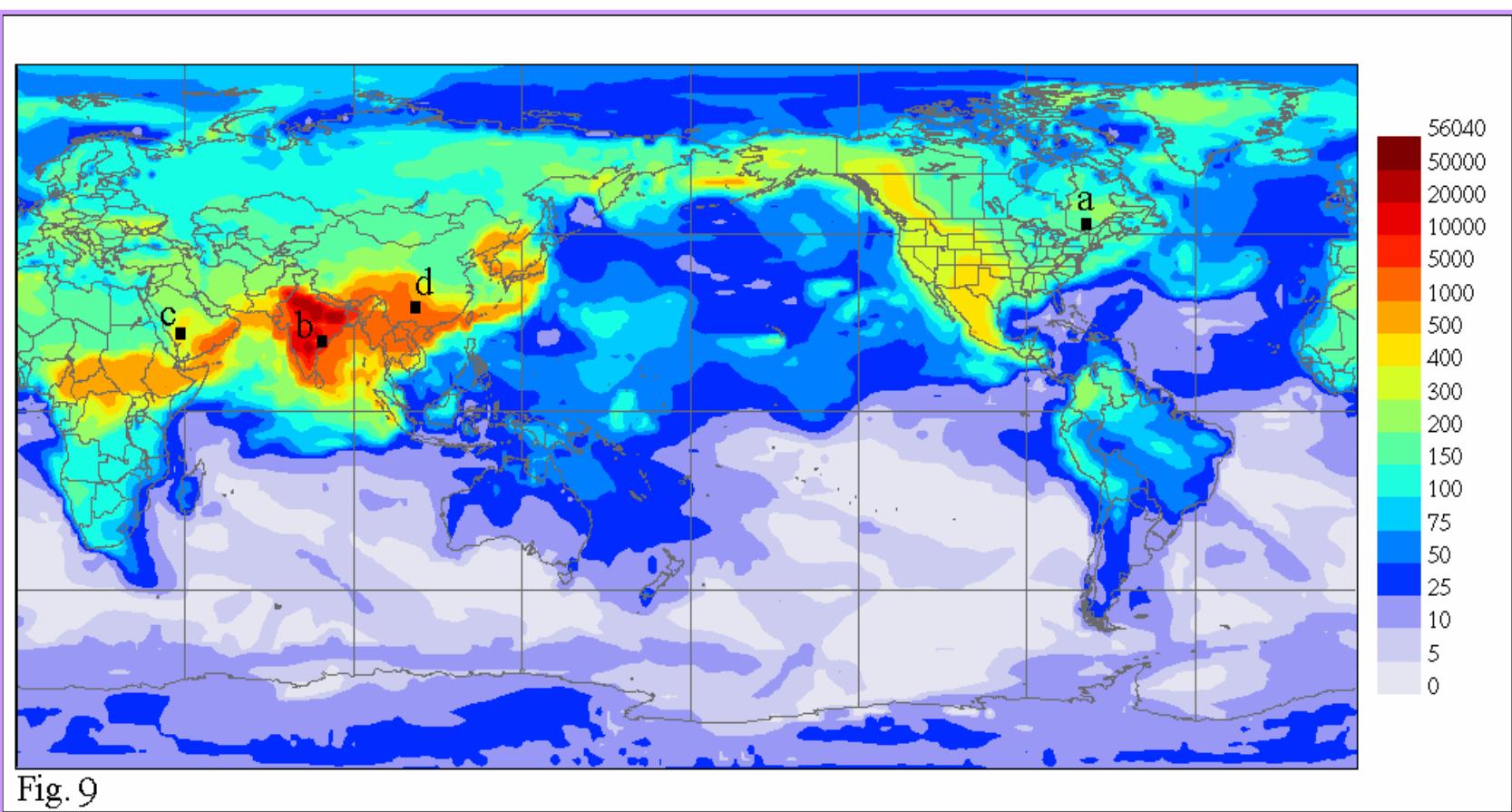
## Alpha-HCH Emission in 1990 From current usage in 1990

(with 1X1 lat/long resolution)

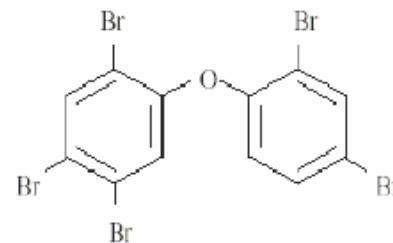


*Li, Y. F., M. T. Scholdz, and B.J. van Heyst, 1999b, "Global gridded emission inventory of alpha-hexachlorocyclohexane", J. Geophys. Res. In press.*

## Global Chemical Transport Model – $\alpha$ -HCH Concentration at Surface Level [Koziol and Pudykiewicz 1999]



## PeBDE



2,2',4,4',5-pentabromodiphenyl ether (BDE-99)

Mixture of tri~heptabrominated flame retardant used for;

High-impact polystyrene

ABS

Polyurethane foams

polyurethane elastomers

other plastics

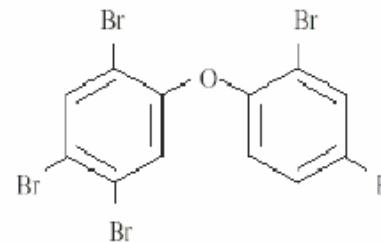
Annual production/consumption;

8,500 t (POPRC1-INF5-b; Norway)

4,000 t (Env. Health Criteria 162)

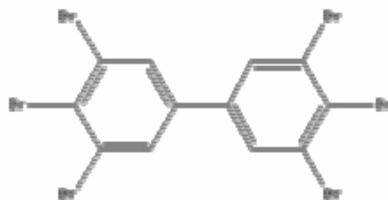
(usage in EU ~10,000t/y in 1989)

## PeBDE



Properties	Criteria	Data(or estimates)
*Persistent		
Water	>2 months	150 days
Soil	>6 months	150 days
Sediments	>6 months	600 days
*Bioaccumulative		
BAF/BCF	>5,000	>27,400
Log K <sub>o/w</sub>	>5	
*Long Range Transport	t <sub>1/2air</sub> >2 d	10~20 d
*Toxicity/Ecotoxicity		NOAEL =1mg/kg/d

HxBB



Hexabrominated flame retardant used for;  
ABS (PBB content ~10%; EHC152)  
coatings and lacquers  
polyurethane foams

Production:

USA hexa 1970~1974 5,369 t

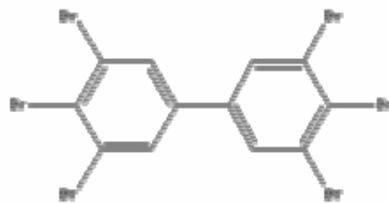
octa, deca ~1979 (total 6,000 t)

Germany poly ~1985

France deca ~ a few hundreds  
t/y

UK deca ~1977

HxBB

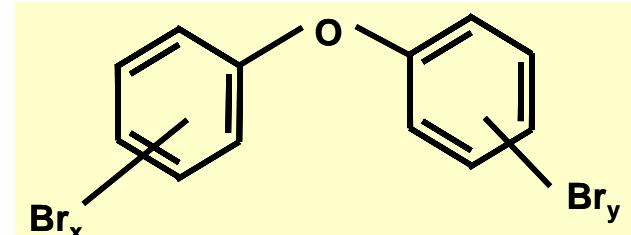


Properties	Criteria	Data(or estimates)
*Persistent		
Water	>2 months	
Soil	>6 months	<i>Stable</i>
Sediments	>6 months	
*Bioaccumulative		
BAF/BCF	>5,000	>10,000
Log K <sub>o/w</sub>	>5	6.39~7
*Long Range Transport		Arctic Seal
*Toxicity/Ecotoxicity		NOAEL =0.15mg/kg/d

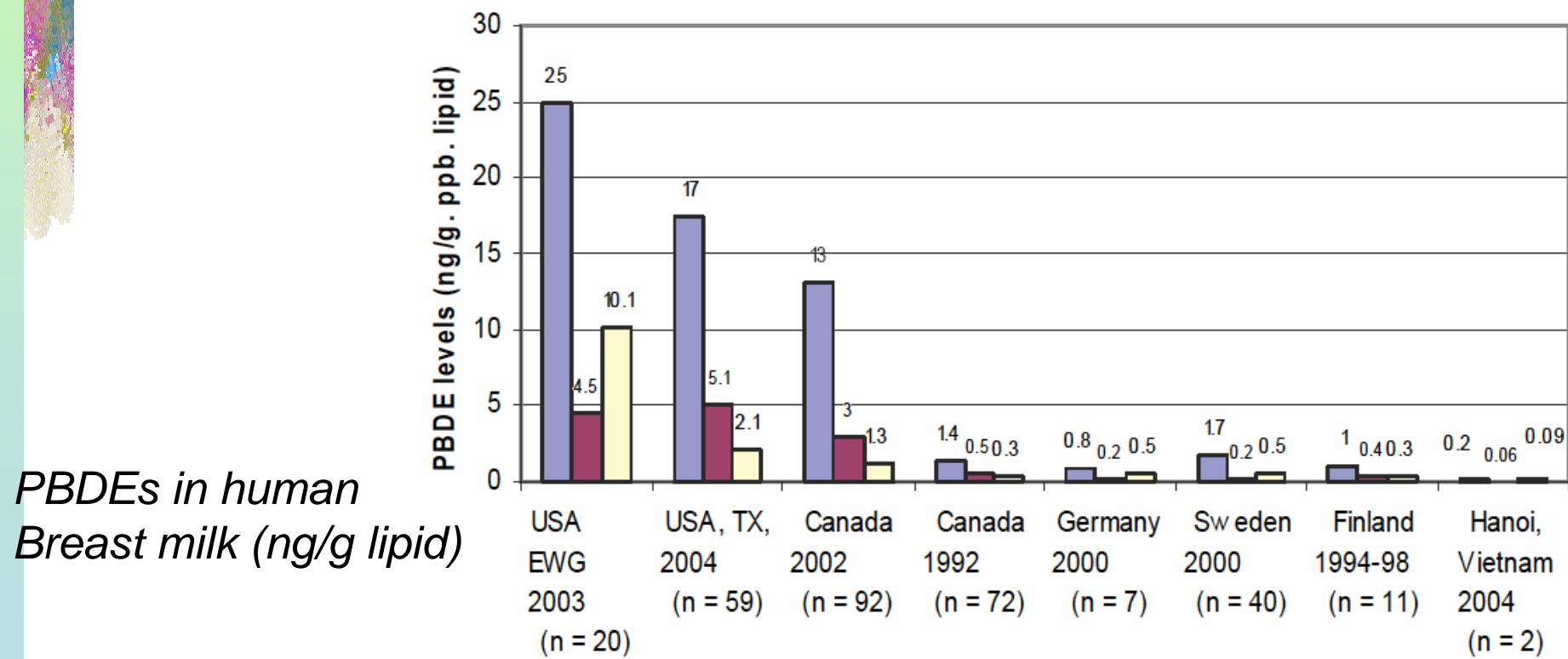
# Flame retardants: PBDE, HBB, chlorinated paraffins

## Polybrominated diethylethers

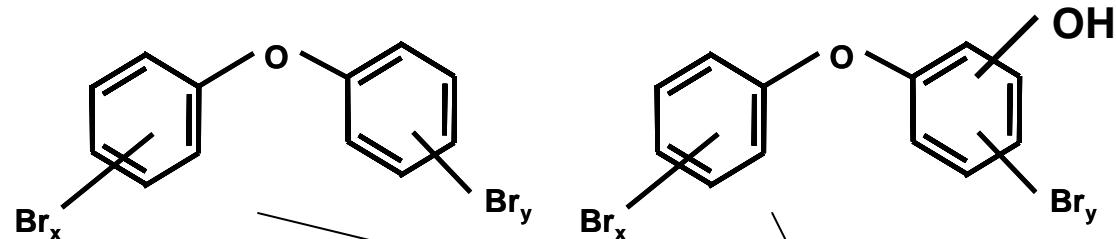
- : Pentabrominated (PeBDE)
- : Octabrominated (OcBDE)
- : Decabrominated (DeBDE)



■ BDE 47 ■ BDE 99 □ BDE 153



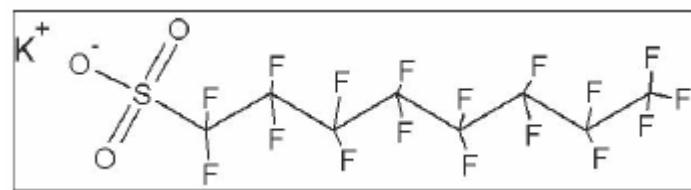
## PBDEs



- Adult mammalian toxicity
  - Hepatic enzyme induction and toxicity
    - DBDE – hepatocarcinogen (very high dose)
  - Endocrine disruption
    - Thyroid
    - Estrogen/anti-androgen
- Developmental reproductive Toxicity
  - Penta/Octa, BDE99
    - Delayed puberty both sexes, sex organ wt changes, ovarian tox, decreased sperm counts
- Developmental neurotoxicity
  - Penta/BDE47, 99, 203, 206, 209
    - Deficits in sensory, motor, and cognitive function

AH Receptor  
Thyroid H R  
Estrogen R

# PFOS



## Properties

\*Persistent

Water

## Criteria

>2 months

Soil

>6 months

Data(or  
estimates)

Sediments >6 months

\*Bioaccumulative

BAF/BCF >5,000

2796~3100

$\text{Log } K_{\text{o/w}}$  >5

1.08

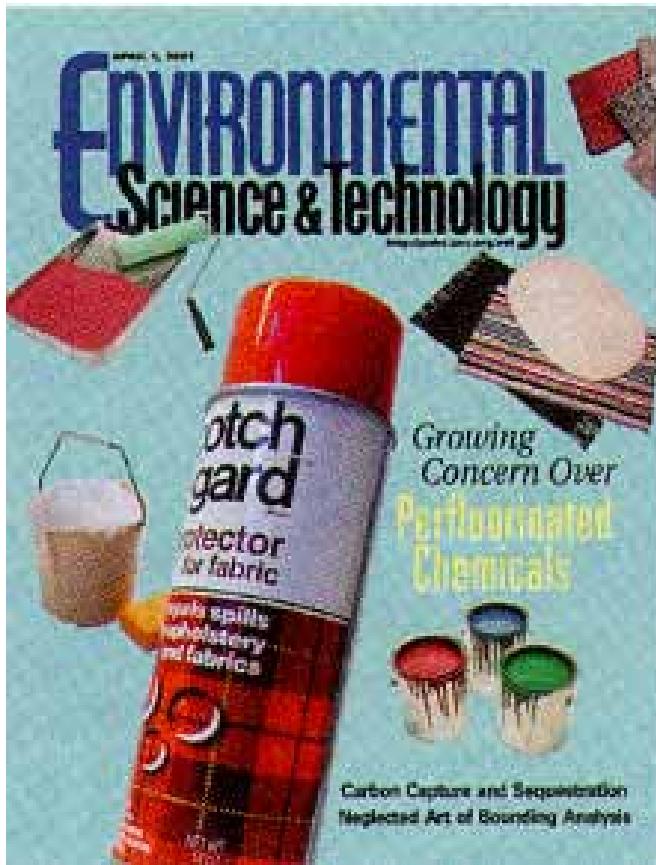
\*Long Range Transport

Monitoring

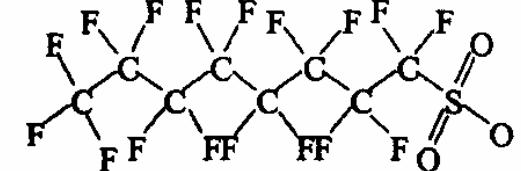
\*Toxicity/Ecotoxicity

1.6mg/kg/d  
(rat)

# PFOS (Perfluorooctane sulfonate)



PFOS (Perfluorooctane sulfonate)  
 $C_8F_{17}SO_3^-$



- \* Produced from 1950's  
max ~3,500 t /y (2000)
- \* Used for surface treatment of papers/ clothes/fabrics as water/oil repellants, for semiconductor industries, for fire fighting agents, etc.
- \* N(L)OAEL for 2<sup>nd</sup> generation of rats  
0.1(0.4) mg kg<sup>-1</sup>/day

## [Chemical Properties of PFOS]

- \* Vapor pressure     $3.31 \times 10^{-4}$  Pa (20 C)
- \* Water sol.    519 / 25 mg/L (pure water(20 C) / seawater)
- \* Henry's Law Const.  $3.1 \times 10^{-9}$  atm $\cdot$ m<sup>3</sup>/mole
- \* BCF    2,796 (Blue gill)

# Major application of PFOS-related chemicals

(Nov 2007)

POSF

C<sub>8</sub>F<sub>17</sub>SO<sub>2</sub>F

Low MW

PFOS

Amphoteric

Amines

Quaternary Ammonium Salts

FOSA

C<sub>8</sub>F<sub>17</sub>SO<sub>2</sub>NH(C<sub>n</sub>H<sub>2n+1</sub>)

Oxazolidinones

Carboxylates

Amides

Alkoxylates

Silanes

High MW

FOSE

C<sub>8</sub>F<sub>17</sub>SO<sub>2</sub>N(C<sub>n</sub>H<sub>2n+1</sub>)C<sub>2</sub>H<sub>5</sub>OH

Adipates

Urethanes

Phosphate Esters

Copolymers

Fatty Acid Esters

Acrylates

Alcohols

High MW  
Phosphates

Performance Chemicals

660t/y(830t/y)

<92t/y(151t/y)>

Fire Extinguishing Forms

Mining & Oil Surfactants

ElectroPlating/Etching Bath

Household Additives

Chemical Intermediates

Coatings/Coating Additives

Carpet Spot Cleaners

Insecticides Raw Materials

Surface Treatments

1,070t/y(2,160t/y)

Carpet Protector

Fabric/Upholstery Protector

Apparel & Leather Protector

Other Protective Products

Paper & Packaging Protectors

1,210t/y

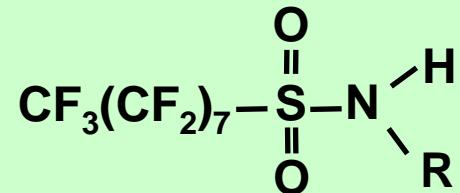
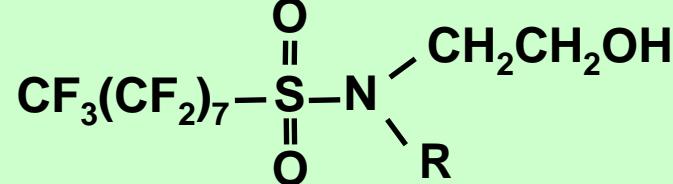
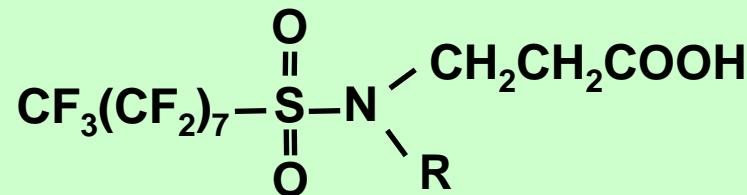
(1,490t/y)

Food Packaging

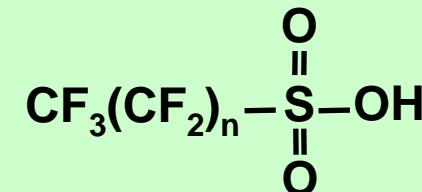
Paper Products

# Perfluorosurfactants

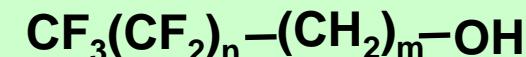
## PFOS Amides



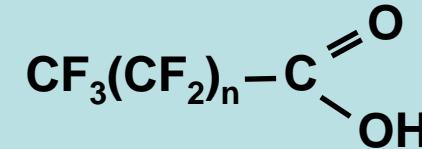
## Sulfonic acids



## Alcohols



## Carboxylic acids



- \* Surfactants with oil/water repellent activity  
*not lipophilic but accumulated in the body*
- \* PPAR $\alpha$  (Peroxisome Proliferator Activated Receptor)  
=> induce peroxisome containing fatty acid-metabolizing enzymes

# Life Cycle Waste Stream Estimates of PFOS

(Battelle Memorial Institute (2000))

Summary of estimated FC waste stream (PFOS equivalent; lbs./y)

	<u>Customers</u>			<u>3M Mfg. Process</u>		
	Suppl. Chain	End use	Disposal	BU	3M Mfg.	3M Mfg.
	Process.			Total	Waste Str.	Release
Air	2,600	3,300	0	5,900	N/A	19,000
Waste water	110,000	180,000	350	290,000	51,000	10,000
Solid waste	59,000	200,000	1,300,000	1,500,000	1,037,000	-
*Landfill					380,000	N/A
*Incineration					657,000	N/A

: Conservative, worst case assumption based on 1997 sales data

## PFOS : Peroxisome proliferators

Fatty acid-binding protein

PFOS

9-cis retinoic acid

Peroxisome enzymes

PPAR $\alpha$

Strong sub-acute/chronic toxicity; Species-specificity

$\beta$ -oxidation  
 $H_2O_2$  respiration  
Cholesterol metabolism

- \* Inhibit lipid/sugar metabolism
- \* Produce active oxygen species

- 1) Carcinogenic (promoter)
- 2) Diabetes, Arteriosclerosis
- 3) Modulation of immune/inflammation reaction

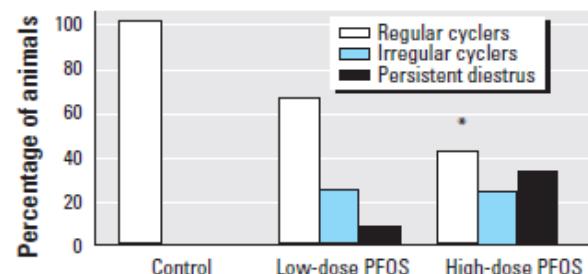
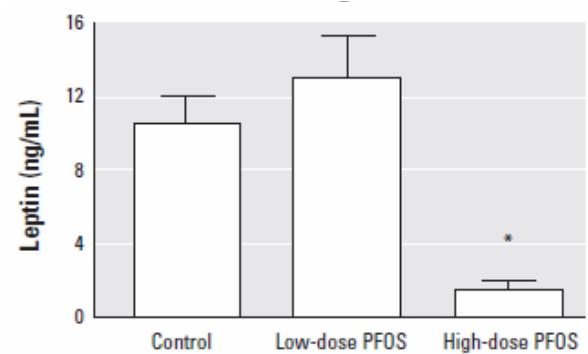
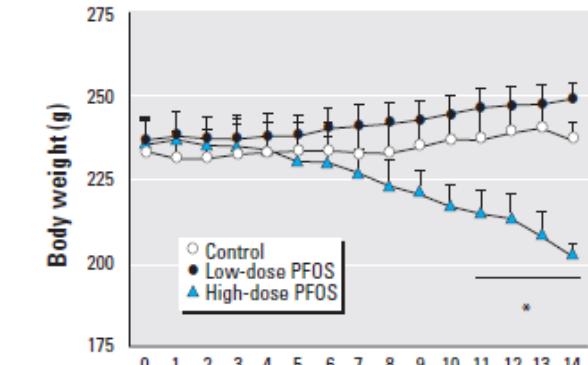
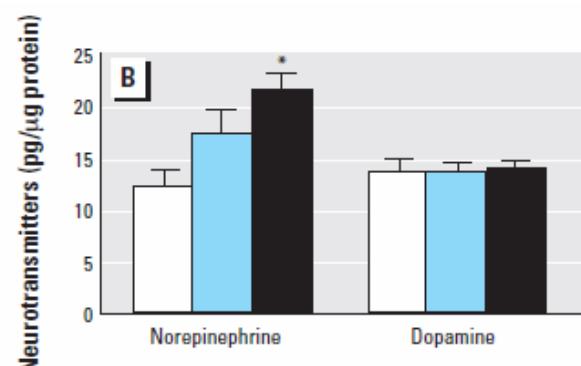
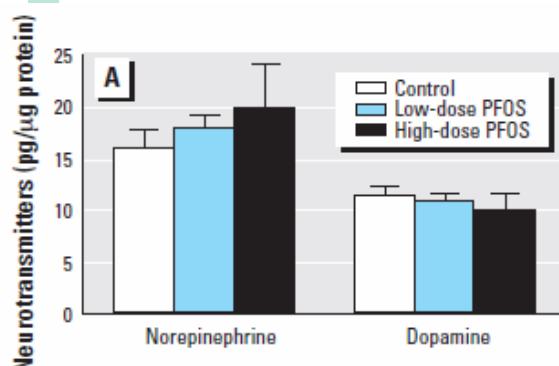
# Neuroendocrine effects of PFOS in rats, *EHP* 111, 1485 (2003)

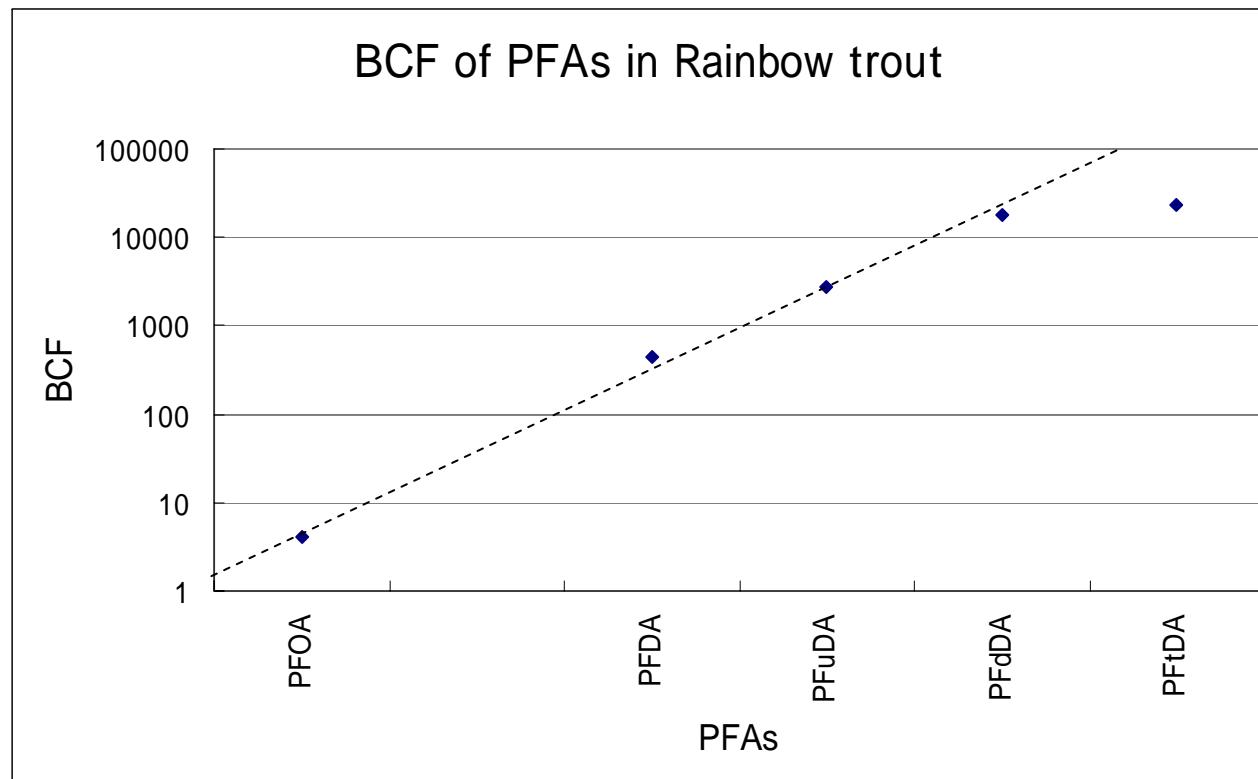
**Table 1.** PFOS concentrations in serum (ng/mL) and in various tissues (ng/g) on a wet weight basis.

Site	Untreated control	PFOS-treated groups	
		1 mg/kg BW	10 mg/kg BW
Serum	BDL	10,480 ± 1,428	45,446 ± 4,120*
Tissue			
Liver	BDL	26,617 ± 4,044	97,358 ± 25,668*
Heart	BDL	1,280 ± 697	23,490 ± 10,036*
Kidneys	BDL	9,581 ± 4,836	47,799 ± 29,512*
Spleen	BDL	76	15,873
Ovary	BDL	3,028	15,489
Adrenal	BDL	1,539	30,087
Brain			
Hypothalamus	BDL	< 50	15,706
Cortex	BDL	294	4,487
Hippocampus	BDL	115	8,966
Brain stem	BDL	363	5,346
Cerebellum	BDL	289	5,540
Rest of the brain	BDL	396	4,256

BDL, below detection limit (50 ng/g). Tissues from animals in each group were pooled for the measurement of PFOS concentrations in specific parts of the brain and in spleen, ovaries, and adrenals.  $n = 4-5$  in each group of rats.

\* $p < 0.05$  relative to the other groups.





*JW Martin et al., Env. Tox. Chem., 22, 196 (2003)*

BCF vs carbon chain length of PFAs in rainbow trout

# Responses of the Liver to Perfluorinated Fatty Acids with Different Carbon Chain Length in Male and Female Mice: In Relation to Induction of Hepatomegaly, Peroxisomal $\beta$ -Oxidation and Microsomal 1-Acylglycerophosphocholine Acyltransferase

N. Kudo et al., *Biol. Pharm. Bull.*, 29, 1952 (2007)

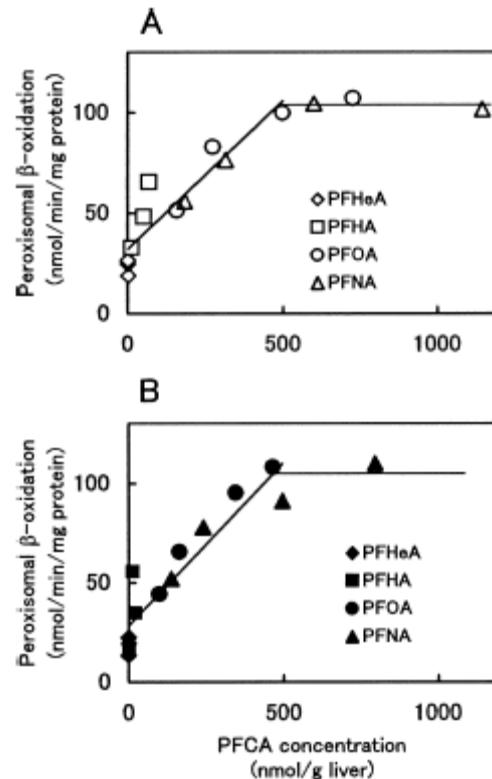


Fig. 5. Relationship between the Concentration of PFCAs and the Activity of Peroxisomal  $\beta$ -Oxidation in the Liver of Mice

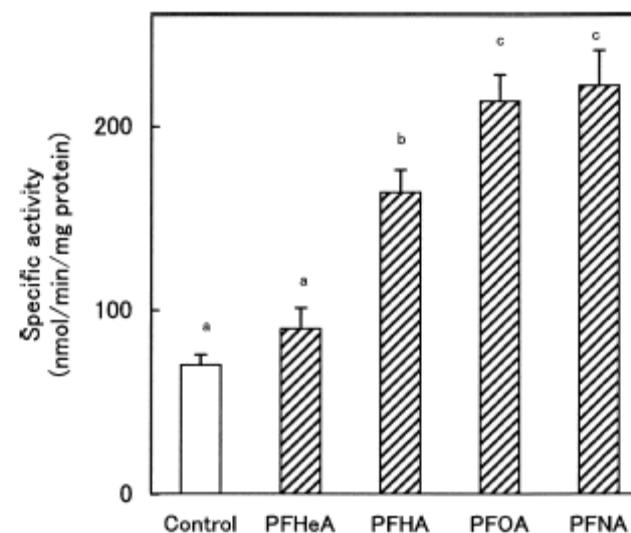
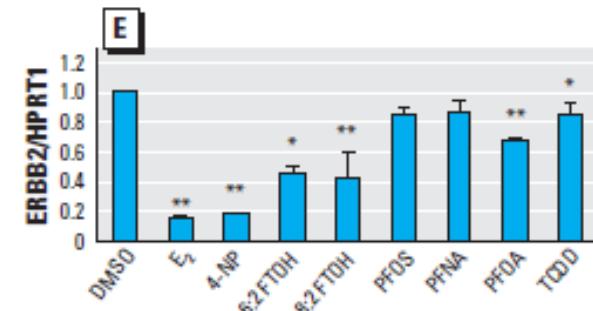
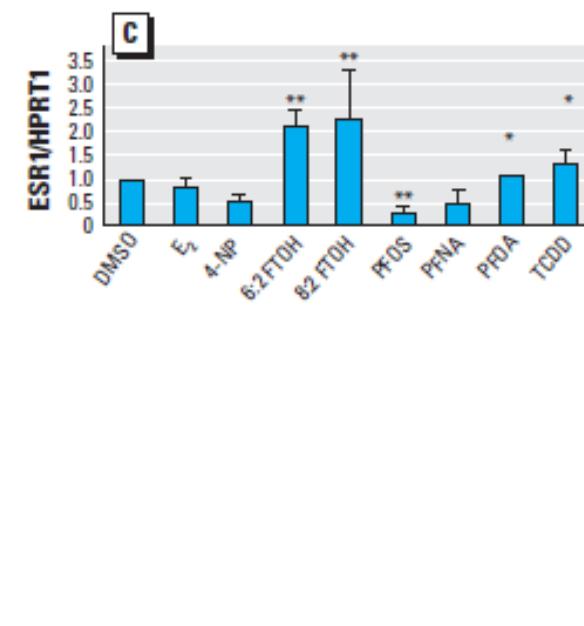
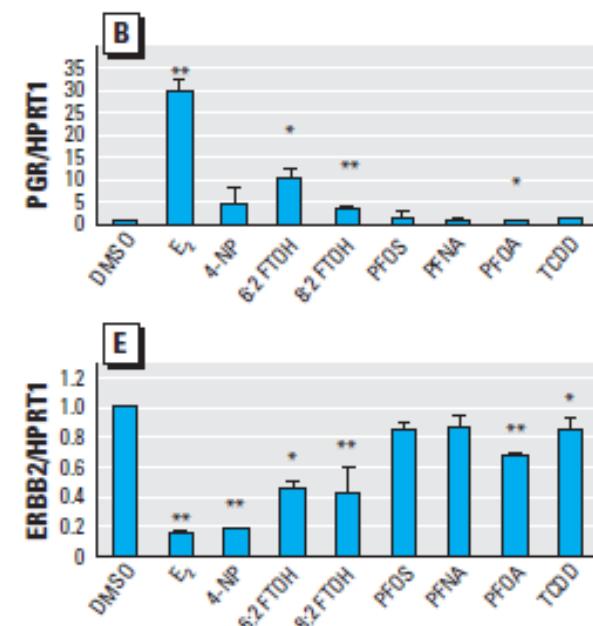
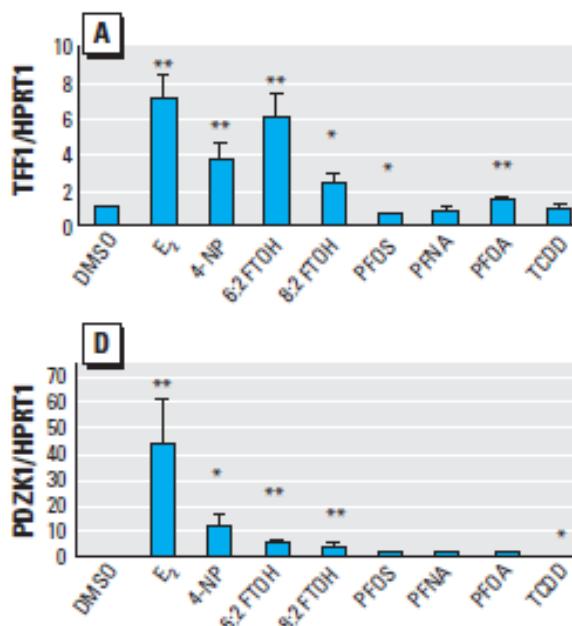


Fig. 2. Effects of PFCAs on the Activity of Microsomal 1-Acyl-GPC Acyltransferase in the Liver of Male Mice

# Estrogen-Like Properties of Fluorotelomer Alcohols as Revealed by MCF-7 Breast Cancer Cell Proliferation

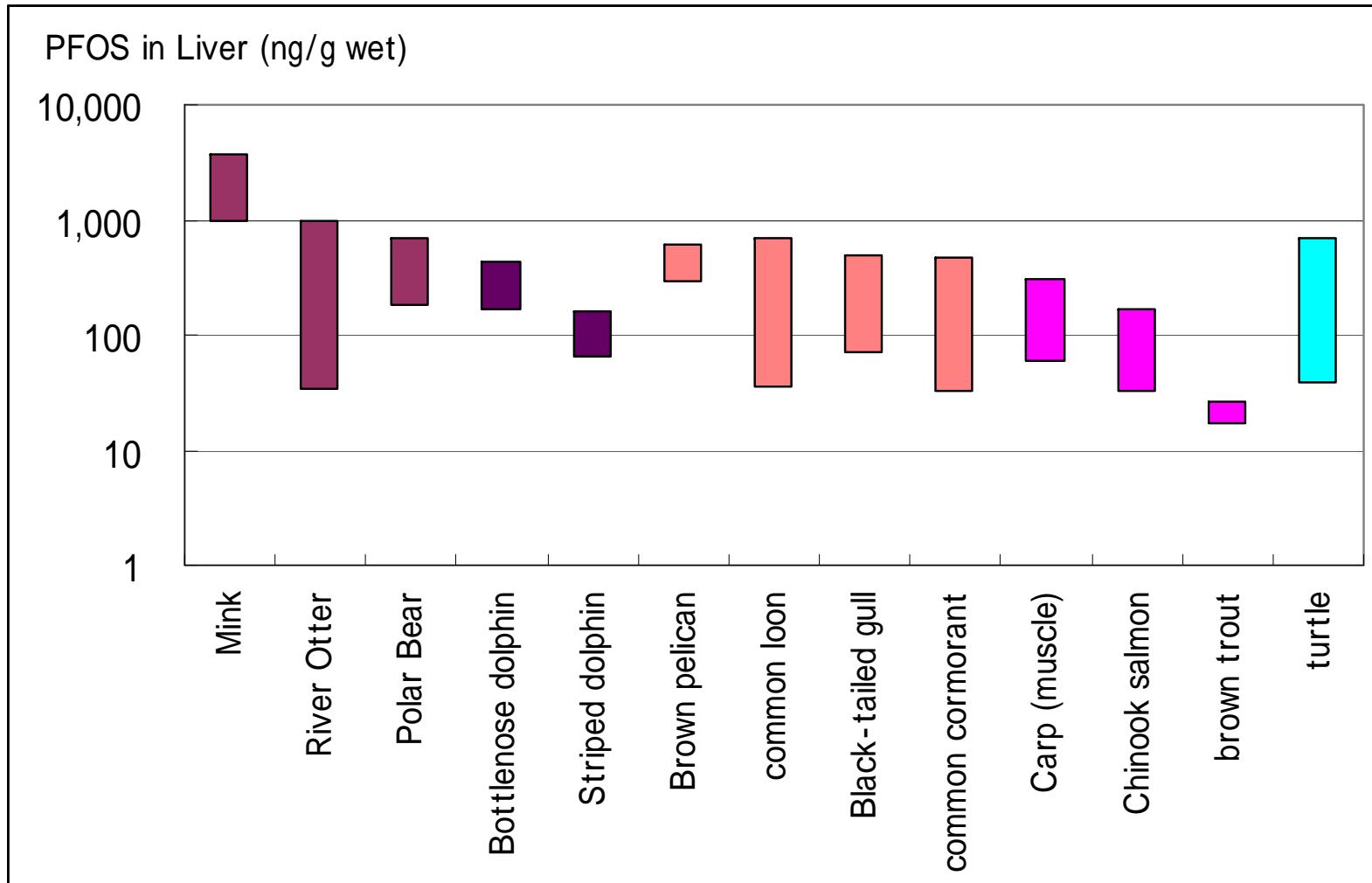
M. Maras, et al., *EHP* 114, 100 (2006)



**Figure 3.** Effect of perfluorinated chemicals on mRNA expression of estrogen-responsive genes in MCF-7 cells were treated with 0.1% DMSO, 1 nM  $E_2$ , 10  $\mu$ M 4-NP, 30  $\mu$ M 6:2 FTOH, 10  $\mu$ M 8:2 FTOH, 50  $\mu$ M PFOS, 50  $\mu$ M PFNA, 50  $\mu$ M PFOA, or 10 nM TCDD. After exposure to the test compounds for 48 hr, mRNA levels of *TFF1* (A), *PGR* (B), *ESR1* (C), *PDZK1* (D), and *ERBB2* (E) were measured by real-time PCR and normalized using *HPRT1* as an internal control. Results are means from three replicate measurements and are expressed as fold relative to 0.1% DMSO; error bars indicate SD.

\* $p < 0.05$ . \*\* $p \leq 0.001$ .

## PFOS Levels in wildlife (ng/g wet)



Giesy & Kannan (2001)

Monitoring of PFOS and other fluorosurfactants  
At National Institute for Environmental Studies (NIES)

# Analysis of PFOS and related chemicals

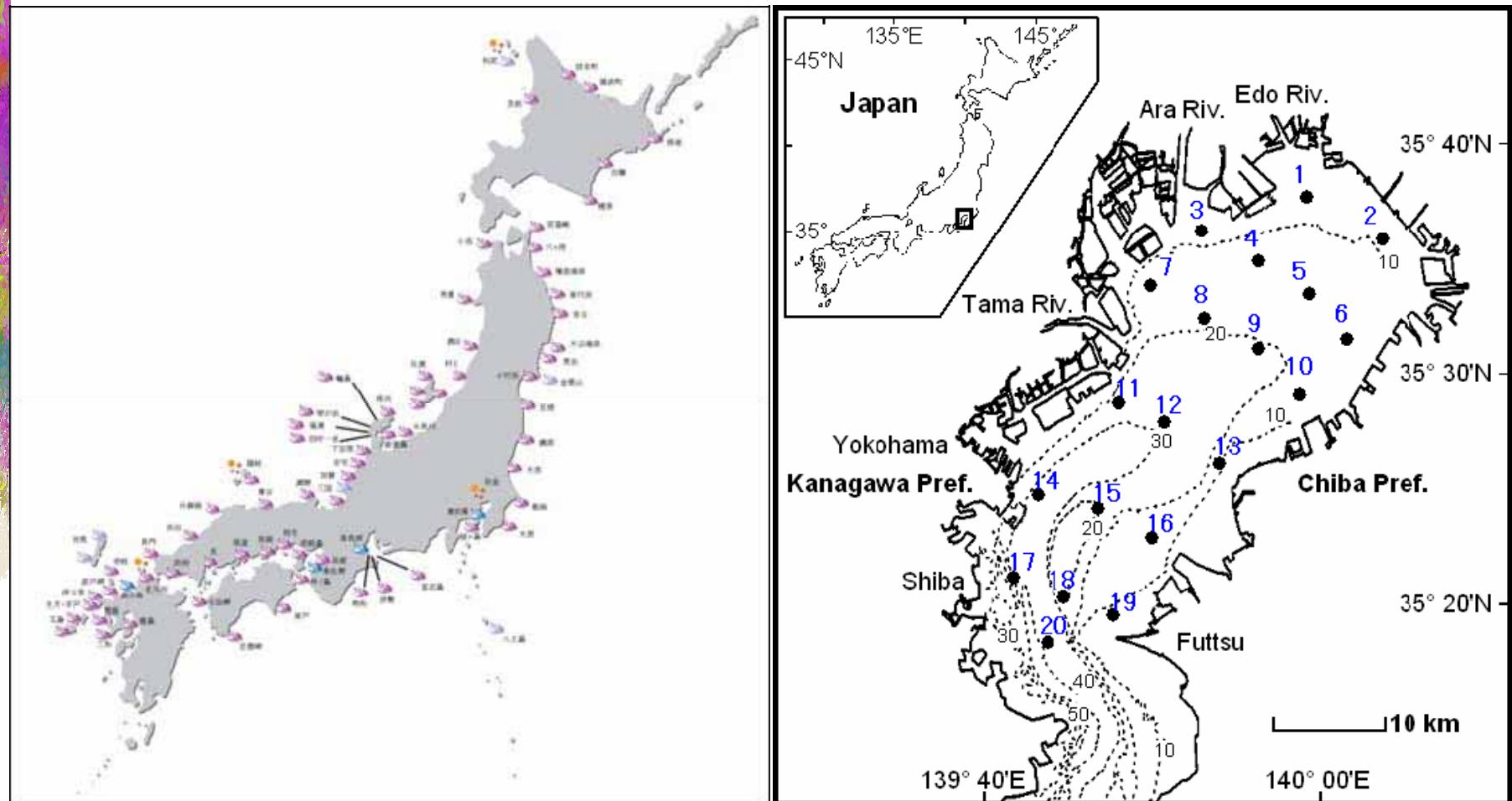
## Important points

- 1) To reduce blank levels
- 2) Alkaline digestion for biological samples

Bivalve samples	PFOA(n=3)		PFOS(n=3)	
	Recovery (%)	Concentration ng/g wet	Recovery (%)	Concentration ng/g wet
1) Alkali + Ion pair	101 ± 2	10.5 ± 0.2	86 ± 1	0.63 ± 0.01
2) Ion pair	94 ± 19	1.3 ± 0.1	119 ± 1	0.16 ± 0.00
3) PFE + Alkali + Ion pair	86 ± 3	5.8 ± 0.4	71 ± 40	0.68 ± 0.02
4) PFE + Ion pair	71 ± 16	2.6 ± 0.1	87 ± 4	0.17 ± 0.01

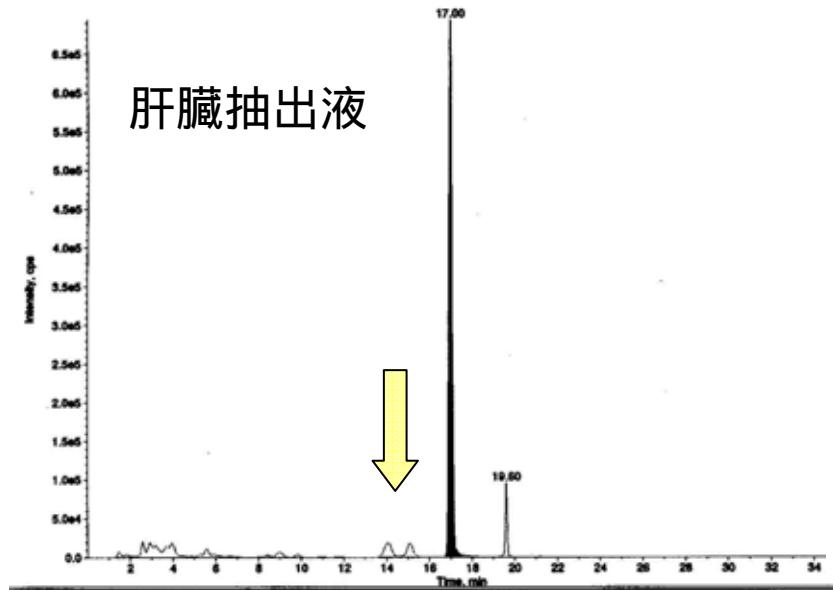
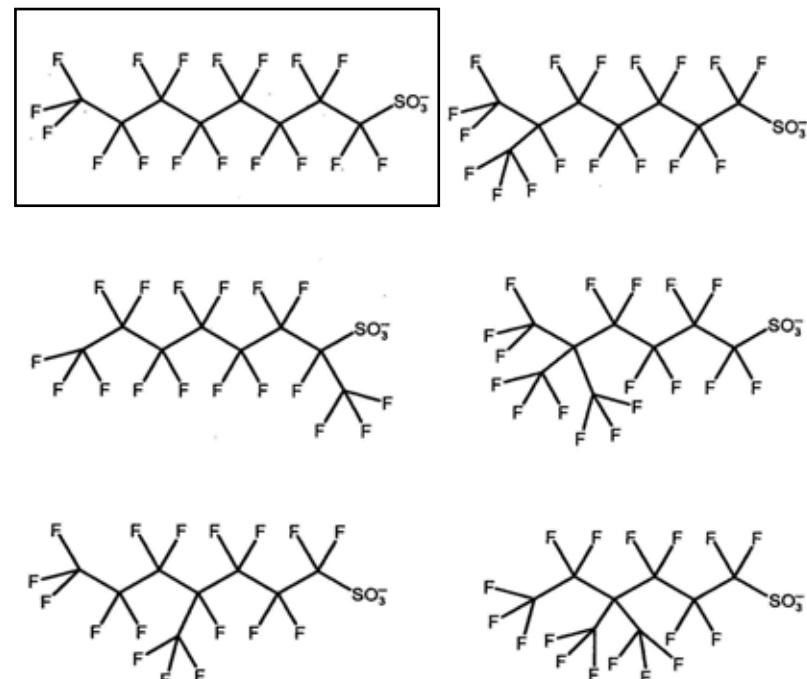
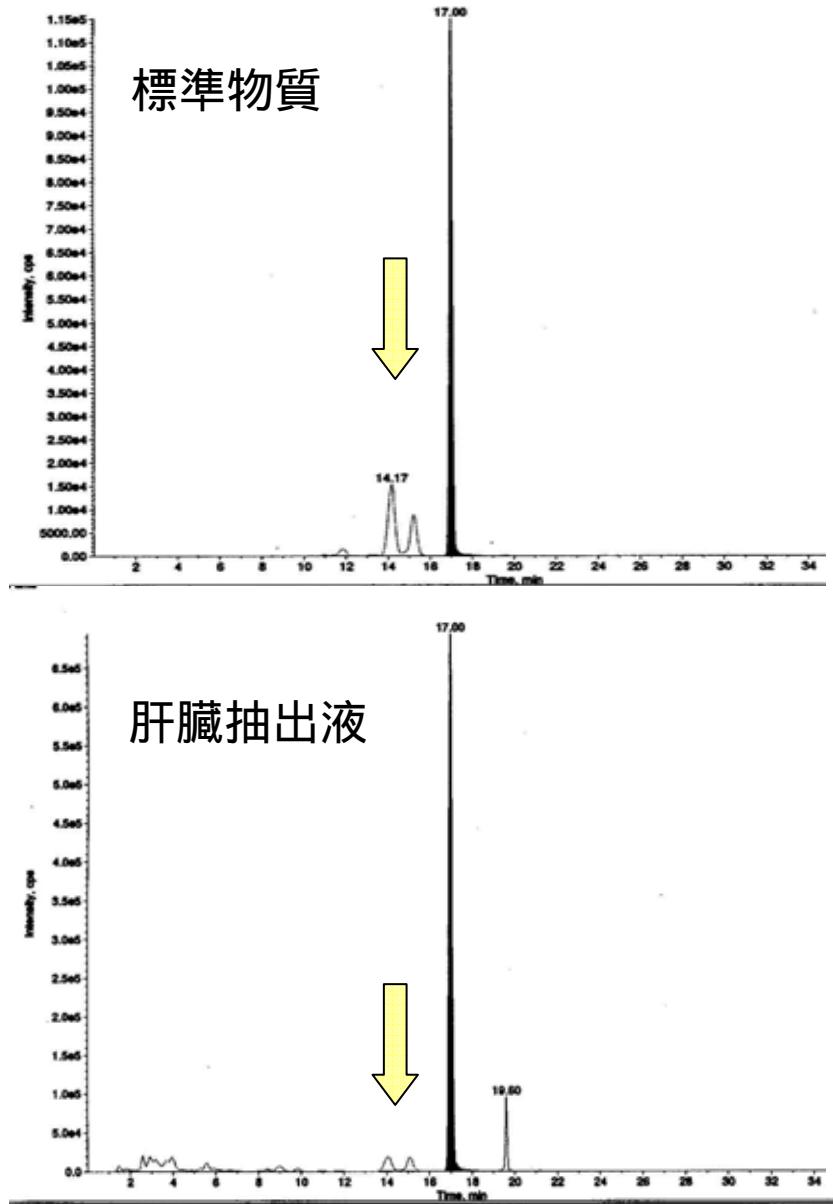
Yoshikane et al (Dioxin 2006)

## Environmental Monitoring and Specimen Banking at NIES



Mussel sampling along  
Japanese coastline

Intensive Survey in  
Tokyo Bay

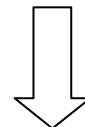


# Effectriveness Evaluation Of Stockholm Convention

*Country monitoring reports  
from Parties*

1<sup>st</sup> Global Report  
By CG

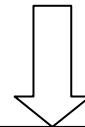
Regional Reports  
By ROG



COP-4  
in May 2005

Establishment of  
Monitoring Network needed  
with Specimen Banking as  
effective back-up

Regional monitoring activities  
monitoring



Effectiveness Evaluation  
: to be conducted 4 years interval